

Chapter 1

Algorithmics and complexity

1. Introduction

- *An algorithm is a finite sequence of elementary operations constituting a calculation scheme or a problem resolution scheme.*
- The execution time of an algorithm depends on the following factors:
 - **Program data:** generally when the size of the data processed by the program increases, its execution time also increases.

Example: Sorting an array of 10 elements takes less time than sorting an array of 100 elements.

- **The quality of the compiler (used language):**

Example: Programs written in Java are generally slower than those written in C or C++.

- **The used machine (speed, memory, ...):**
 - **The complexity of the algorithm itself.**
-

2. The complexity concept

Definition: *the complexity of an algorithm is a measure of the number of elementary operations that it performs on a data set. Complexity is expressed as a function ($f(N)$, $C(N)$, ...) of the size of the data set .*

- **Elementary operation** : is an operation whose execution time is independent of the size N of the data such that:
- Assignment: $x \leftarrow 3$
 - Reading: `read (x)`
 - Writing: `write (x);`
 - Comparison ($<$, $>$, $=$,...): `if (x<8)`
 - Arithmetic operations ...etc: $Z = x + y$

2. The complexity concept

➤ **Data size N :** is the number of the elements processed by the algorithm.

Examples:

- In the case of sorting an array, **N is the number of elements in the array.**
- In the case of calculating a term of a sequence, **N is the index of the term**
- In the case of calculating the sum of the elements of a matrix of $n*m$ elements, **the data size is $n*m$.**

2. Concept of complexity

Objective

- We seek to measure the complexity of an algorithm independently of the machine and the used language, i.e. only as a function of the size of the data N that the algorithm must process.
- The objective is therefore to find the function ($f(N)$, $C(N)$...) which expresses the number of operations performed by the algorithm as a function of the data size N .

Example:

- $F(N) = 3N+6$
- $C(n) = 4N^2$

2. Concept of complexity

Example 1:

Algorithm : sum

N, i, S: integer

Begin

$i \leftarrow 1;$

$S \leftarrow 0;$

While ($i \leq N$) do

$S \leftarrow S+i;$

$i \leftarrow i+1;$

End while

Write(s);

END

This algorithm calculates the sum of the first N integers.

The data size is N.

The sum algorithm performs:

2 Assignments ($i \leftarrow 1, S \leftarrow 0$)

N + 1 comparison ($i \leq N$);

N additions ($s+i$);

N assignments ($S \leftarrow S+i$);

N additions ($i+1$);

N assignments ($i \leftarrow i+1$);

1 display (write(s));

$5N+4$ elementary operations

$f(n) = 5N+4$

2. Concept of complexity

1.3 Why calculate the complexity of algorithms:

1. Calculate the time and memory space required to execute a program.
2. Check if an algorithm is executable in a reasonable time.
3. Compare two algorithms solving the same problem to choose the best one.
4. Check whether an algorithm is optimal. Check whether there is a better performing algorithm or not .

3. Rules for calculating the complexity of an algorithm

- 1) **Simple instruction:** the number of elementary operations in the instruction.

Example: in the instruction $y \leftarrow 3+5*x$; we have 3 elementary operations, an assignment, an addition and a multiplication.

- 2) **Instruction Sequence:** The complexity of an instruction sequence is equal to the sum of the complexities of the instructions in the sequence.

Example:

```
Read(x);  
Read(y);  
z  $\leftarrow$  x+y;  
Write (z);
```



5 operations

3. Rules for calculating the complexity of an algorithm

3) The complexity of a conditional

The complexity of an **<if – then – else> statement** equals the sum of the complexity of evaluating the condition and the greater of the complexity of the then block and the else block.

If (*condition*) then

.....

Otherwise

.....

Finished

} Then block

} Else block

$$\text{Complexity} = C1 + \max(C2, C3)$$

Where :

- C1 is the number of operations performed for the evaluation of the condition.
- C2 is the number of operations executed if the condition is true (the **Then block**)
- C3 is the number of operations executed if the condition is false (the Else block)

3. Rules for calculating the complexity of an algorithm

- 4) **Loop complexity:** the complexity of a loop is equal to the sum, over all iterations, of the complexity of evaluating the exit condition and the complexity of the statement block in the body of the loop.

Example

Read(N); i=1;

While (i<=N)do

 S=s+i;

 i=i+1;

End While

- In this loop we have N iterations
- The comparison $i \leq N$ is performed $N+1$ times (N iterations of the loop plus once to exit the loop)
- In the instruction block we have 4 instructions each executed N times.
- The complexity of the loop is therefore $5N+1$

3. Rules for calculating the complexity of an algorithm

5) Subprograms (functions and procedures)

The complexity of calling a subprogram equals the sum of the complexities of its instructions.

Example:

Algorithm : sum

N, i, S: integer

Function sum (N: integer): integer

i, S: integer

Begin

S ← 0; Op1

For i ← 1 to N do Op2, Op3, Op4, Op5

S ← S+i;

End for Op6 Op7

Return (s); Op8

End

Operation	Number
Op1	1
Op2 (i ← 1)	<u>1</u>
Op3 (i ≤ N)	N+1
Op4 (i ←)	N
Op5 (i+1)	N
Op6	N
Op7	N
Op8	1
Total	5N + 4

3N+2

3. Rules for calculating the complexity of an algorithm

N: integer;

Begin

Read (N); **Op1**

Write (Sum (N));

End **Op2** **Op3**

Operation	Number
Op1	1
Op2	1
Op 3 (Call of Sum (N))	5N+4
F (N)	5N +6

4. Complexity of recursive algorithms

- The complexity of a recursive algorithm is done by reasoning by recurrence. We must follow the following steps:

Step1: Find the relation that explains the complexity of the algorithm by calculating the complexity of some values of N .

Step2: Consider that the relation is correct for $N-1$.

Step3: Prove the relation for N .

4. Complexity of recursive algorithms

Example

- Let's consider the following recursive function:

factorial function(n:integer):integer

begin

If n=0 then Op1

 returns 1; Op2

Else

 returns (n* factorial(n-1))

end if

END

4. Complexity of recursive algorithms

Let $C(n)$ be the running time required for a call to $\text{factorial}(n)$.

Step 1:

$$C(0) = \text{Op}_1 + \text{Op}_2 = 2$$

$$C(1) = \text{Op}_1 + \text{Op}_3 + \text{Op}_4 + \text{Op}_5 + C(0) = b + C(0) \quad (b = \overbrace{\text{Op}_1 + \text{Op}_3 + \text{Op}_4 + \text{Op}_5}^{4 \text{ operations}})$$

$$C(2) = \text{Op}_1 + \text{Op}_3 + \text{Op}_4 + \text{Op}_5 + C(1) = b + b + C(0) = 2b + C(0)$$

$$C(3) = \text{Op}_1 + \text{Op}_3 + \text{Op}_4 + \text{Op}_5 + C(2) = b + 2b + C(0) = 3b + C(0)$$

.

Step 2: we consider that the complexity for $N-1$ is $C(n-1) = (n-1)b + C(0)$

Step 3: by the execution of the function we toruve that :

$$C(n) = \text{Op}_1 + \text{Op}_3 + \text{Op}_4 + \text{Op}_5 + C(n-1) = b + (n-1)b + C(0) = n.b + C(0)$$

$$C(n) = 4n + 2$$

4. Complexity of recursive algorithms

Exercise: Let the following product function be that calculates the product $x \cdot N$. Evaluate its complexity.

Function product(x, N : integer): integer

Begin

If $N=0$ **then**

 returns 0;

Else

If $N=1$ **then**

 return x ;

Else

 returns ($x + \text{product}(x, N-1)$)

end if

end if

END

5. Complexity at best and worst

Example: *sequential search for an element in an array of n integers*

Function search (n, x : integer, tab : array of integers): boolean

i : integer; b : boolean ;

Begin

$i \leftarrow 1$; $b \leftarrow \text{false}$;

While ($i \leq n$) and ($b = \text{false}$) do

if ($tab[i] = x$) then

$b = \text{true}$;

Endif

$i \leftarrow i + 1$;

Endwhile

return b ;

End

- *The complexity parameter is the size of the input array.*
- *The number of loops varies depending on whether x is in the array or not, and where x is present.*

5. Complexity at best and worst

- *If x is in the first box of the table: 1 loop with the condition $b = \text{true}$ $O(1)$*
- *If x is in the second box of the table: 1 loop with the $b = \text{false}$ and 1 loop with $b = \text{true}$ $O(2)$...*
- *If x is in the last box of the table: N loop turns with $b = \text{false}$ and 1 loop turn with $b = \text{true}$. $O(n)$*
- *If x is not in the array: N loops with condition $b = \text{false}$. $O(n)$*
- The **time complexity** of the sequential search algorithm is: $O(n)$
- Because in the worst (and average) case, the algorithm looks at each of the n elements once.

5. Complexity at best and worst

When, for a given value of the complexity parameter, the execution time varies according to the input data, we can distinguish:

- **Worst-case complexity:** maximum execution time, in the worst case.
- **Best-case complexity:** minimum execution time, in the best case (in practice, this complexity is not very useful).
- **Average complexity:** execution time in a median case, or average of execution times.

Most often, **we use worst-case complexity**, because we want to limit the execution time.

6. Landau notation (O)

- What is Landau / Big O notation?
- It's a way to **measure how fast an algorithm grows** (how much time it takes) when the **input size (n)** becomes very large.
- 👉 Think of it like this:
- If an algorithm takes **twice as long** when you double n, it's **$O(n)$** (linear).
- If it takes **four times as long**, it's **$O(n^2)$** (quadratic).
- If it doesn't really grow, it's **$O(1)$** (constant time).
- We ignore details like exact numbers or small differences (like $+3$ or $\times 2$). We only keep the *big picture* of how fast it grows.

6. Landau notation (O)

- Let the function $T(n)$ represent the evolution of the execution time of a program P as a function of the number of data n. For example:

$$T(n) = a n^2$$

- In the example above, we say that the complexity of P is $O(n^2)$. This means that there exists a positive constant C such that for n sufficiently large we have:

$$T(n) \leq Cn^2$$

- This notation gives an upper bound on the number of operations executed (execution time) by the program P. A program whose complexity is $O(f(n))$ is a program which has $f(n)$ as a function of growth of the execution time.

6. Landau notation (O)

Example:

Let the function $f(n)$ represent the execution time of a program P. $f(n) = n^3 + 3n + 6$

if $n=10$ and $c=2$ we obtain:

$$f(n) = 10^3 + 3*10 + 6 = 1036 \leq 2 * 10^3 = 2000$$

The complexity of P is said to be $O(n^3)$.

Because there exists a positive constant c ($c=2$) such that for n sufficiently large ($N=10$) we have:

$$n^3 + 3n + 6 \leq cn^3$$

7. Algorithmic complexity classes

1. $T(n) = O(1)$, constant time : execution time independent of the size of the data to be processed.
2. $T(n) = O(\log(n))$, logarithmic time : such complexity is usually encountered when the algorithm breaks a large problem into several smaller ones, so that solving just one of these problems leads to solving the initial problem.
3. $T(n) = O(n)$, linear time : this complexity is generally obtained when constant time work is performed on each input data.
4. $T(n) = O(n \cdot \log(n))$: the algorithm splits the problem into several smaller subproblems that are solved independently. Solving all of these smaller problems provides the solution to the initial problem.

7. Algorithmic complexity classes

5. $T(n) = O(n^2)$, quadratic time: appears in particular when the algorithm considers all pairs of data among the n inputs (e.g. two nested loops)

Note: $O(n^3)$ cubic time, $O(n^k)$ polynomial

6. $T(n) = O(2^n)$, exponential time: often the result of a brutal search for a solution.

7. Algorithmic complexity classes

Execution times depending on data size.

temps	constant $\theta(1)$	logarithmique $\theta(\log_2 N)$	linéaire $\theta(N)$	$\theta(N \log_2 N)$	polynomial $\theta(N^k)$		exponentiel $\theta(2^N)$
					quadratique ($k = 2$)	$k = 3$	
$N = 100$	$1\mu s$	$6.6\mu s$	0.1 ms	0.6 ms	10 ms	1s	10^{16} ans
$N = 1000$	$1\mu s$	$9.9\mu s$	1 ms	9.9 ms	1 s	16.6 min	∞
$N = 10^4$	$1\mu s$	$13.3\mu s$	10 ms	0.1 s	100 s	11.5 jours	∞
$N = 10^5$	$1\mu s$	$16.6\mu s$	0.1 s	1.6 s	2.7 heures	31 ans	∞
$N = 10^6$	$1\mu s$	$19.9\mu s$	1 s	19.9 s	11.5 jours	$3 \cdot 10^4$ ans	∞

NB: figures for a machine capable of performing 10^6 operations per second

How to determine the class of complexity ?

- What we're trying to find ?
- We want to understand how fast the function grows when n becomes very large.

That's exactly what Landau notation (Big O) measures.

determining the class of complexity

Step 1: Identify all the terms

- Look at all the parts of the function.
Example: $F(n) = 3n + 2\log n + 10$
- We can see:
 - a linear term: $3n$
 - a logarithmic term: $2\log n$
 - a constant term: 10

Step 2: Compare their growth rates

- We compare how fast each term grows as n increases.
-  Generally, growth rates follow this order:
- $\log n < n < n \log n < n^2 < n^3 < 2^n < n!$

- Keep the dominant term :
- The dominant term is the one that grows fastest when n becomes large.
It will eventually “drown out” all the smaller ones.
- So, for $F(n) = 3n + 2\log n + 10$:  The term $3n$ dominates.
The others become negligible when n is large.

Step 3: Ignore constants

- In Landau notation, constants don't matter.
- (We ignore multiplications by 2, 3, 10, etc.)

Because we care about *the growth shape*, not the exact number.

- So: $3n + 2\log n + 10 \Rightarrow O(n)$

General rule (to remember)

- When a function is a sum of several terms, the asymptotic complexity is determined by the term that grows the fastest.
- $f(n) = a_k n^k + a_{k-1} n^{k-1} + \dots + a_0 \Rightarrow O(n^k)$
- Full example with our function $C(n)$:
- $C(n) = n \log n + 8n + 3$
- List the terms: $n \log n, 8n, 3$
- Compare their growth rates: $\log n < n < n \log n$
- The largest is $n \log n$
- We Keep only that one $\rightarrow O(n \log n)$